

MP PATENT

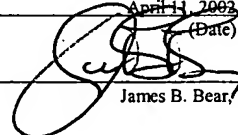
Case Docket No. UCI002.001A

Date: April 11, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Baerveldt, et al.  
App. No. : 10/052,473  
Filed : January 18, 2002  
For : MINIMALLY INVASIVE  
GLAUCOMA SURGICAL  
INSTRUMENT AND  
METHOD

) I hereby certify that this correspondence and all marked  
) attachments are being deposited with the United States  
) Postal Service as first class mail in an envelope addressed  
) to: United States Patent and Trademark Office, P.O. Box  
) 2327, Arlington, VA 22202, on

) April 11, 2002  
) (Date)  
)   
) James B. Bear, Reg. No.

Group Art Unit : 3761

**TRANSMITTAL LETTER**

**United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202**

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on February 14, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Information Disclosure Statement; PTO Form 1449 and 103 references.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

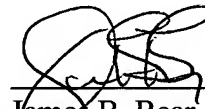
PATENT

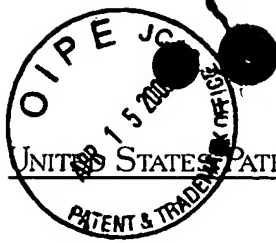
Case Docket No. UCI002.001A

Date: April 11, 2002

FILING FEE	\$ 370
ADDITIONAL CLAIM FEE	\$ 855
SURCHARGE 37 CFR 1.16(e)	\$ + 65
TOTAL OF ABOVE CALCULATIONS	\$ 1290
TOTAL FEES SUBMITTED HEREWITH	\$ 1290

- (X) A check in the amount of \$1290 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
James B. Bear  
Registration No. 25,221  
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,473	01/18/2002	George Baerveldt	UC1002.001A

CONFIRMATION NO. 7905

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000007480957\*

Date Mailed: 02/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$855.
  - \$603 for 67 total claims over 20.
  - \$252 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1290.

04/16/2002 CNGUYEN 00000020 10052473

01 FC:201	370.00 OP
02 FC:203	603.00 OP
03 FC:202	252.00 OP
04 FC:205	65.00 OP

Copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 05/06/2002 VTRUONG1

05/02/2002 SSITHIB1 00000050 10052473

01 FC:202	-84.00 OP
02 FC:203	-261.00 OP

Repln. Ref: 05/06/2002 VTRUONG1 0010564800

DAH:111410 Name/Number:10052473

FC: 704 \$345.00 CR